

9.1 Traceability and Security Risk Assessment

Describe what systems you have in place for tracing product and keeping product secure. Include any hazards you identify, describe what exists to reduce risk and any corrective actions you need to take.

Year: Traceability and Security Questions	Describe what's happening on your farm. What already exists to reduce risk?	Identify Hazards that require a corrective action.	Describe Corrective Actions Taken & Date.
Describe what information is included in your lot code (e.g. crop code, growing location, harvest date, harvester?) and where this information is recorded (e.g. harvest log, label, invoices?).			
Describe what information is included on your product labels (e.g. name, address, lot code, certifications, etc.).			
At what points in your daily operations do you stop to do a "clean break" (pausing harvesting or other tasks to clean and sanitize food contact surfaces and initiate a new lot code)?			
Describe how your farm traces product one step forward to the buyer and one step back to the field (traceability).			
What records are kept to track the source and the application of any soil amendments or other inputs (compost, plant sprays, fertilizers)?			
Describe the steps you would take if a recall of your product ever needed to be done. Have you ever done a "mock recall" to test this plan?			

9.1 Traceability and Security (cont.)

If you handle any allergens on your farm, explain any allergen declaration you include on your labeling.			
How do you secure and protect stored product and equipment?			
Who has access to the farm (each site) and when, and how do you keep track of who visits?			
Describe how you make sure all packaged products are labeled correctly.			
How do you know that your packaging is food grade?			
List here any SOPs or Records you already use related to Traceability & Security			
You can find tips and resources in the ASP Workbook, and templates in the Produce Safety Worksheets (PSW), and at newmexicogrown.org.	9.1b Traceability and Recall Checklist (PSW) 3.3. Weekly Farm Log – for records of agricultural inputs (PSW) 9.1a Harvest and Sale- Log (PSW) Resource H. Visitor Food Safety Policies Sign (ASP Workbook appendix)		